

Open Report on behalf of Pete Moore, Executive Director Resources & Community Safety

Report to:	Audit Committee
Date:	09 July 2012
Subject:	Corporate Audit Progress Report to 27 June 2012

Summary:

This report provides an update on progress made against the Audit Plan 2012/13.

Recommendation(s):

That the Committee notes the outcomes of Corporate Audit work and identifies any actions it requires.

Background

1. This report provides details of the due diligence audit reports issued during the period

Progress with Planned Audits to 27 June 2012

2. The following audit reports have been issued as a final report:

Limited
Resources
<ul style="list-style-type: none"> ■ Procurement Card ■ Creditor Payments
Substantial Assurance
Resources
<ul style="list-style-type: none"> ■ Payroll ■ Budget Management
Communities
<ul style="list-style-type: none"> ■ Highways Alliance
Children Services
<ul style="list-style-type: none"> ■ Section 139a Assessments (Additional Needs Statementing)

In addition to the audits completed to final report stage these audits have been completed to draft report stage:

- Tendering and Award of Contracts
- ICT Management Arrangements
- SAP Licensing & Security
- Mouchel Contract
- Employee starters and leavers (ICT review)
- Historic Lincoln Project

We are currently seeking management responses and will provide the Committee with information on the above reports at the next meeting.

The assurance expressed is at the time of issue of the report **but before the full implementation of the agreed management action plan**. Definitions levels are shown in Appendix A.

Details of the reports with 'Limited Assurance' can be found in Appendix B. We have also included information on the Payroll system where substantial assurance has been given – this is to inform and acknowledge the improved assurance level and the fact that the Audit Committee had scrutinised this area in the past.

Conclusion

3. Corporate Audit has completed 5 audits to final report stage, received and assessed management assurance in respect of one activity, and completed 9 audits to draft report stage since the last progress report to Committee in March 2012. We have also completed 19 school audits.

Consultation

a) Policy Proofing Actions Required

N/A

Appendices

These are listed below and attached at the back of the report	
Appendix A	Audit Assurances
Appendix B	Audits where assurance is assessed as 'No' or 'Limited'

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by Lucy Pledge, who can be contacted on 01522-553692 or lucy.pledge@lincolnshire.gov.uk